

12-28-01

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UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b)</small>	Attorney Docket No.	LD0251NP	Total Pages	69
	First Named Inventor or Application Identifier			
	LEE, Francis			
	Title: METHODS FOR MODULATING TUMOR GROWTH AND METASTASIS			
	Express Mail Label No.	EL676443305US	Date Mailed December 20, 2001	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Specification (Total Pages (54)) (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 		
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets (15))	ACCOMPANYING APPLICATION PARTS		
4. <input type="checkbox"/> Oath or Declaration (Total Pages ()) <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 Below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.			
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)			
10. <input type="checkbox"/> English Translation Document (if applicable)			
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
12. <input type="checkbox"/> Preliminary Amendment			
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
14. <input type="checkbox"/> Applicant Claims Small Entity Status			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
16. <input type="checkbox"/> Other: _____			

17. a. If a **CONTINUING APPLICATION**, check appropriate box and supply requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of the following application(s), each of which is hereby incorporated herein by reference:


b. **Priority Applications**

In addition to any applications listed in 17a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

60/258,195 Filed December 22, 2000.

18. CORRESPONDENCE ADDRESS

☒ Customer Number **000110** or
DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.
 1601 Market Street, Suite 720
 Philadelphia, PA 19103-2307
 Phone (215) 563-4100 Facsimile (215) 563-4044
 to the attention of the individual identified below.


 Kathleen D. Rigaut, Ph.D., J.D.
 PTO Registration No. 43,047

FEE TRANSMITTAL

Complete if known

Application Number **not yet assigned**

Filing Date **December 20, 2001**

First Named Inventor **LEE, Francis**

Group Art Unit

Examiner Name

TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ **1712.00**

Attorney Docket Number **LD0251NP**

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

- ☐ Charge indicated fees
☒ Charge additional fees
☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL AND SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Checks in the amount of \$ 1712.00
 Check Nos. _____

FEE CALCULATION

1. FILING FEE

Fee Description	Fee Paid
Utility filing fee	<u>740.00</u>
Design filing fee	_____
Plant filing fee	_____
Reissue filing fee	_____
Provisional filing fee	_____
SUBTOTAL (1)	\$ <u>740.00</u>

2. CLAIMS

Extra Fee Fee Paid

Total Claims
 Presented 60 - 20 = 40 x 18 = 720.00

(a)

Independent
 Claims
 Presented 6 - 3 = 3 x 84.00 = 252.00

(b)

Multiple Dependent Claim
 (first presentation) _____ = 0

(a) Enter 20 or number previously paid for

(b) Enter 3 or number previously paid for

SUBTOTAL (2) \$ 972.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	_____
Surcharge - late provisional filing fee or cover sheet	_____
Non-English specification	_____
For filing a request for reexamination	_____
Requesting publication of SIR prior to Examiner action	_____
Requesting publication of SIR after Examiner action	_____
Extension for response within first month	_____
Extension for response within second month	_____
Extension for response within third month	_____
Extension for response within fourth month	_____
Notice of Appeal	_____
Filing a brief in support of an appeal	_____
Request for oral hearing	_____
Petition to institute a public use proceeding	_____
Petition to revive unavoidably abandoned application	_____
Petition to revive unintentionally abandoned application	_____
Issue fee	_____
Petitions to the Commissioner	_____
Petitions related to provisional applications	_____
Submission of Information Disclosure Stmt.	_____
Recording each patent assignment per property (times number of properties)	_____
Filing a submission after final rejection (37 CFR 1.129(a))	_____
For each additional invention to be examined (37 CFR 1.129(b))	_____
Other fee (specify) <u>Advance Order (10 copies)</u>	_____
Other fee (specify) _____	_____

SUBTOTAL (3) \$ _____

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Signature Kathleen D. Rigaut

Reg. Number 43,047

Date December 20, 2001

Deposit Account User ID

04-1406